## Effective Field Theories

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## Aim of lectures

Through examples from several areas of physics, to gain some familiarity with basic aspects of effective field theory technology.

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- A. Pich, hep-ph/9806303
- D.R. Phillips, nucl-th/0203040
- H. Leutwyler, hep-ph/0212325
- M. Golterman, hep-lat/0912.4042
- Flavianet 2008 (Benasque): E.Braaten, H.Leutwyler

## Organization

- Lecture I: Basic technology
- Lecture II: Non-Relativistic EFT
- Lecture III: NR EFT: applications
- Lecture IV:
- $\chi PT$  primer

## Lecture I: Basics

- Why we can calculate
- What EFT is
- Scaling/dimensional analysis
- Quantum corrections
- Matching

Physicists choose to study problems with widely separated scales



#### Consider a physical system with multiple scales

Arrange the various scales into two groups such that:

 $low - momentum \ scales < p$  $high - momentum \ scales > \Lambda$ 

#### → <u>Effective Field Theory</u> $p \ll \Lambda$

include low-momentum d.o.f. mit high-momentum d.o.f.  $\star$  systematically improve description in:  $\left(\frac{p}{\lambda}\right)^{n}$ 





- Better understand problems with many length scales. (e.g. nuclear physics, atomic physics)
- Compute low-energy scattering without knowledge of short distance physics. (e.g. the Standard Model)
- Develop low-energy theory with non-perturbative full theory. (e.g. chiral perturbation theory)

Consider a system with N scalar fields  $\phi, \Phi_1, \Phi_2, \dots \Phi_{N-1}$ If  $m_{\Phi_i} \geq \Lambda$  $\int D\phi D\Phi_1 \dots D\Phi_{N-1} e^{-S} = \int D\phi e^{-S_{\rm EFT}}$ non-local!  $S_{\rm EFT} = \int d^4x \, \mathcal{L}_{\rm EFT}$ 

High-momentum d.o.f. are *integrated out* 

$$\mathcal{L}_{\rm EFT} = \frac{1}{2} (\partial_{\mu} \phi)^2 + \frac{1}{2} c_{-2} \Lambda^2 \phi^2 + \frac{\lambda}{4!} \phi^4 + \sum_n \left( \frac{c_n}{\Lambda^{2n}} \phi^{4+2n} + \frac{d_n}{\Lambda^{2n}} (\partial_{\mu} \phi)^2 \phi^{2+2n} + \dots \right)$$

#### Dimensional analysis:

$$\hbar = 1 \quad \longrightarrow \quad [\mathbf{x}] = -1 \quad [\mathbf{t}] = -1$$
$$\left[\int d^d x \mathcal{L}_{\rm EFT}\right] = 0 \quad \longrightarrow \quad [\phi] = d/2 - 1$$

- ◇ Constrained by Lorentz invariance ... and  $\phi \rightarrow -\phi$  ◇ Assume  $c_{-2}, \lambda, c_n, d_n \ll 1$
- $\diamond$   $\infty$  number of operators!

#### Which operators are most important?

$$\phi_{\xi}(x) = \phi(\xi x) \sim e^{ik\xi x}$$

 $\xi \to 0 \longrightarrow k\xi \to 0 \quad \text{infrared configurations}$ 

$$S_{\rm EFT}\left(\phi(\xi x); c_{-2}, \lambda, c_n, d_n, \ldots\right) = S_{\rm EFT}\left(\xi^{-1}\phi(x); \xi^{-2}c_{-2}, \lambda, \xi^{2n}c_n, \xi^{2n}d_n, \ldots\right)$$

$$\phi \to \xi^{-1}\phi$$
 ,  $c_{-2} \to \xi^{-2}c_{-2}$  ,  $\lambda \to \lambda$  ,  $c_n \to \xi^{2n}c_n$  ,  $d_n \to \xi^{2n}d_n$ 

#### Scaling to the infrared:

$\bigcap$			$C_{-}$	-2		relevant
				λ		marginal
	$c_n$	,	$d_n$	,	• • •	irrelevant

In classical, relativistic EFT:

scaling dim = mass dim

 $[\phi] = 1$  ,  $[c_{-2}] = 2$  ,  $[\lambda] = 0$  ,  $[c_n] = [d_n] = -2n$ 

Dominant effect from lowest dimensions!

How do quantum effects alter scaling?

Operators renormalize each other via loops!





#### These shifts are perturbative by assumption!

$$\Delta c_{-2} \sim - \underbrace{(\overset{\flat}{\sim})}_{\bullet} + \underbrace{(\overset{\flat}{\sim})}_{\overset{\flat}{\sim}} + \dots \sim \left(\frac{\lambda}{(4\pi)^2} + \frac{c_1}{(4\pi)^4} + \dots\right)$$

 $m_{\phi} \ll \Lambda$  requires fine tuning!

#### Hierarchy/naturalness problem!

Fermions do not have this problem! Chiral symmetry

(We will postpone discussion of logarithms till later.)



Blue light scatters more strongly from atoms in the atmosphere than red light!



- Identify low-energy d.o.f
- Identify the symmetries
- Construct most general EFT
- Determine *power counting*
- Choose desired accuracy
- Determine parameters (*matching*)

#### Consider interactions of photons with neutral atoms

**Physical scales** 



 $\omega \ll \Delta E \ll a_0^{-1} \ll M_{\rm A}$ 

### <u>d.o.f ?</u>



### Constrained by Lorentz and gauge invariance

**Building blocks:** 

 $\partial_{\mu}$ 

 $\phi_{n}^{\dagger}\phi_{v}$ 

 $F_{\mu\nu}$ 

 $v_{\mu}$ 

$$\mathcal{L}_0 = \phi_v^\dagger i v^\mu \partial_\mu \phi_v - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

#### Atom e.o.m: $\partial_t \phi_v = 0 \quad \Rightarrow \quad E = 0$

$$\mathcal{L}_{\rm EFT} = c_1 \phi_v^{\dagger} \phi_v F_{\mu\nu} F^{\mu\nu} + c_2 \phi_v^{\dagger} \phi_v v^{\alpha} F_{\alpha\mu} v_{\beta} F^{\beta\mu} + c_3 \phi_v^{\dagger} \phi_v (v^{\alpha} \partial_{\alpha}) F_{\mu\nu} F^{\mu\nu} + \dots$$

 $\infty$  number of operators ! need power-counting

$$[F_{\mu\nu}] = 2$$
 ,  $[\phi_v] = \frac{3}{2}$   $\implies$   $[c_1] = [c_2] = -3$  ,  $[c_3] = -4$ 

#### **Dominant effect from lowest dimensions!**

Dimensions must be made from high-energy scales:

$$\Delta E$$
,  $a_0^{-1}$ 

Scattering with  $\omega \ll \Delta E$ ,  $a_0^{-1}$  ~ classical

$$\mathcal{L}_{\rm EFT} = a_0^3 \left( a_1 \phi_v^{\dagger} \phi_v F_{\mu\nu} F^{\mu\nu} + a_2 \phi_v^{\dagger} \phi_v v^{\alpha} F_{\alpha\mu} v_{\beta} F^{\beta\mu} \right) + \dots$$

Scattering amplitude:  $|\mathcal{A}|^2 \sim a_0^6$ 

**Cross-section:** 
$$[\sigma] = -2$$

 $\sigma(\omega) \propto \omega^4 a_0^6 \left(1 + \mathcal{O}\left(\frac{\omega}{\Delta E}\right)\right)$ 



To calculate the coefficients must *match* the full theory to the EFT

(See Jackson, Classical E and M)

Let's consider another example where *matching* is more illuminating:

Euler-Heisenberg EFT

Consider photon interactions when

 $\omega \ll m_{e}$ 

#### **Physical scales**

Photon energy:  $\omega$ electron mass:  $m_e$ 

#### <u>d.o.f ?</u>

 $A_{\mu}$  creates and destroys photon

Constrained by Lorentz and gauge invariance + C and P

#### **Building blocks:**

 $\partial_{\mu} \qquad F_{\mu\nu}$ 

$$\left( \mathcal{L}_{\rm EFT} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + \frac{a}{m_e^4} \left( F^{\mu\nu} F_{\mu\nu} \right)^2 + \frac{b}{m_e^4} F^{\mu\nu} F_{\nu\sigma} F^{\sigma\rho} F_{\rho\mu} + \mathcal{O}(F^6/m_e^8) \right)$$

#### Don't need to know anything about QED!

Scattering amplitude: 
$$\mathcal{A}_{LO}(\gamma\gamma \to \gamma\gamma) \sim \alpha^2 \frac{\omega^4}{m_e^4}$$

**Cross-section:** 
$$[\sigma] = -2$$

$$\sigma(\gamma\gamma \to \gamma\gamma) \sim \frac{\omega^6}{m_e^8}$$

### Matching to QED:



$$a = -\frac{\alpha^2}{36} \quad , \quad b = \frac{7\alpha^2}{90}$$

$$\sigma(\gamma\gamma \to \gamma\gamma) = \frac{973 \,\alpha^4}{5(45)^2 \pi} \frac{\omega^6}{m_e^8} + \mathcal{O}(\omega^8)$$

#### What about corrections to LO?



$$\underbrace{\qquad} \sim \int \frac{d^4q}{(2\pi)^4} \frac{1}{q^2(k+q)^2} \sim \log\left(\frac{\Lambda^2}{-k^2}\right)$$

$$\mathcal{A}_{NLO} \left( \gamma \gamma \to \gamma \gamma \right) \sim \alpha^4 \frac{\omega^8}{m_e^8} \log \left( \frac{\Lambda}{\mu} \right) + \dots$$

$$\begin{pmatrix} \mathcal{L}'_{\rm EFT} = \frac{1}{m_e^8} \left[ a_1 \left( \partial_\alpha F_{\mu\nu} \right) \left( \partial^\alpha F^{\mu\nu} \right) \left( \partial_\beta F_{\lambda\rho} \right) \left( \partial^\beta F^{\lambda\rho} \right) \\ + a_2 \left( \partial_\alpha F_{\mu\nu} \right) \left( \partial^\beta F^{\mu\nu} \right) \left( \partial^\alpha F_{\lambda\rho} \right) \left( \partial_\beta F^{\lambda\rho} \right) + a_3 \left( \partial^\alpha \partial_\beta F_{\mu\lambda} \right) F^{\lambda\nu} \left( \partial^\mu \partial_\nu F_{\alpha\rho} \right) F^{\rho\beta} \right]$$

### Matching to QED:

$$\sigma(\gamma\gamma \to \gamma\gamma) = \frac{\alpha^4}{(45)^2\pi} \frac{\omega^6}{m_e^8} \left(\frac{973}{5} + \frac{128}{3}\frac{\omega^2}{m_e^2} + \mathcal{O}(\omega^4)\right)$$

# Lecture II: NR systems

- Non-Relativistic scaling
- NR effective Lagrangians
- Scattering theory generalities
- Bosons in flatland

#### Recall relativistic scaling:

$$\mathcal{L}_{\rm EFT} = \frac{1}{2} (\partial_{\mu} \phi)^2 + \frac{1}{2} c_{-2} \Lambda^2 \phi^2 + \frac{\lambda}{4!} \phi^4 + \sum_n \left( \frac{c_n}{\Lambda^{2n}} \phi^{4+2n} + \frac{d_n}{\Lambda^{2n}} (\partial_{\mu} \phi)^2 \phi^{2+2n} + \dots \right)$$

#### **Dimensional analysis:**

$$\hbar = 1 \quad \longrightarrow \quad [\mathbf{x}] = -1 \quad [\mathbf{t}] = -1$$
$$\left[\int d^d x \mathcal{L}_{\text{EFT}}\right] = 0 \quad \longrightarrow \quad [\phi] = d/2 - 1$$

#### scaling dim = mass dim

$$[\phi] = 1$$
 ,  $[c_{-2}] = 2$  ,  $[\lambda] = 0$  ,  $[c_n] = [d_n] = -2n$ 

$$\mathcal{L}_{\rm EFT} = \frac{1}{2} \left( \dot{\phi}^2 - (\nabla \phi)^2 - m^2 \phi^2 \right) - \frac{\lambda}{4!} \phi^4 + \dots$$

#### For non-relativistic particle:

$$\phi \sim a e^{-iEt} + a^{\dagger} e^{iEt} \quad \text{with} \quad E \simeq m$$

Near cancellation in kinetic term!

**Define:** 
$$\phi(\mathbf{x},t) = \frac{1}{\sqrt{2m}} \left( e^{-imt} \psi(\mathbf{x},t) + e^{imt} \psi^*(\mathbf{x},t) \right)$$

$$\mathcal{L}_{\rm EFT} = \psi^* \left( i\partial_t + \frac{\nabla^2}{2m} \right) \psi - \frac{\lambda}{8m^2} (\psi^* \psi)^2 + \dots$$

$$[x] = -1$$
,  $[t] = -2$ ,  $[\psi] = \frac{3}{2}$ ,  $[\lambda] = -1$ 

#### Time and space scale differently!

Free e.o.m:

$$\left(i\partial_t + \frac{\nabla^2}{2m}\right)\psi = 0$$

$$\psi(\mathbf{x},t) \propto e^{-iEt+i\mathbf{p}\mathbf{x}}$$

$$E = \frac{p^2}{2m}$$

Let's generalize our notation a bit: non-relativistic fermions and bosons

 $\psi^{\dagger}(\mathbf{x},t)$  creates particle  $\psi(\mathbf{x},t)$  destroys particle

The quantum field  $\psi$  can represent a nucleon or an atom Free theory:

$$\begin{aligned} \mathcal{L} &= \frac{1}{2} \left[ \psi^{\dagger} i \frac{d}{dt} \psi + h.c. \right] - \mathcal{H} \\ \mathcal{H} &= \frac{1}{2m} \nabla \psi^{\dagger} \cdot \nabla \psi \end{aligned}$$

### EFT

- (I) Identify low-energy d.o.f
- (II) Identify the symmetries
- (III) Construct most general EFT
- (IV) Determine *power counting*
- (V) Determine parameters (*matching*)

(I) Identify the low-energy d.o.f.

atoms or nucleons

(II) Identify the symmetries

 $\begin{aligned} \mathbf{Galilean invariance:} \quad \psi(\mathbf{x},t) \ \to \ e^{im(\mathbf{v}\cdot\mathbf{x}-\frac{1}{2}v^{2}t)}\psi(\mathbf{x}-\mathbf{v}t) \\ \left(i\partial_{t}+\frac{\nabla^{2}}{2m}\right)\psi \quad \text{transforms like} \quad \psi \\ \left(i\partial_{t}+\frac{\nabla^{2}}{4m}\right)\psi_{1}\psi_{2} \\ \psi_{1} \ \overleftarrow{\nabla} \ \psi_{2} \ = \ \psi_{1} \ \overrightarrow{\nabla} \ \psi_{2}-\psi_{2} \ \overrightarrow{\nabla} \ \psi_{1} \end{aligned} \right\} \text{ transform like} \quad \psi_{1}\psi_{2} \end{aligned}$ 

#### Also have rotational invariance, P and T

(III) Construct most general EFT

$$\mathcal{L}_{\text{EFT}} = \psi^{\dagger} \left( i\partial_t + \frac{\nabla^2}{2m} + \mu \right) \psi + \sum_n c_n \mathcal{O}_n$$
$$d = 6: \qquad \left( \psi^{\dagger} \psi \right)^2$$
$$d = 8: \qquad \psi^{\dagger} \nabla^2 \psi \psi^{\dagger} \psi, \dots$$

 $\mathcal{L}_{EFT}$  can be simplified by omitting total derivatives, using e.o.m and by performing field redefinitions:

$$\psi \longrightarrow \psi + \eta \mathcal{T}(\psi, \psi^{\dagger})$$

#### (IV) Determine *power counting*

$$\mathcal{O}_{rst} \sim (im\partial_t)^r \nabla^s \psi^{\dagger t} \psi^t \sim p^{2r+s+3t}$$

$$c_{rst} \sim \frac{1}{m} \left(\frac{1}{\Lambda}\right)^{2r+s+3t-5}$$

Operator suppression:

$$\left(\frac{p}{\Lambda}\right)^{2r+s+3t+\dots}$$

<u>Note</u>: this power counting assumes that the coefficients in the EFT are of *natural size*!
How do quantum effects alter our scaling arguments?

What is relation between the EFT and the Schrödinger equation?

## Let's consider some EFT examples:

- ★ Bosons in two spatial dimensions
- **★** Fermions in three spatial dimensions

# Aside:

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<u>Ultra-cold atoms</u>: At nano-K temperatures, have a non-relativistic few-body system whose inter-particle interaction can be tuned.

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<u>Ultra-cold atoms</u>: At nano-K temperatures, have a non-relativistic few-body system whose inter-particle interaction can be tuned.

It gets better.... consider atoms tightly confined in the z direction:

$$V_H(z) = \frac{1}{4}m\omega_0^2 z^2 \qquad \qquad \ell_0 = \sqrt{\frac{\hbar}{m\omega_0}}$$

Can continuously move from 3 to 2 spatial dimensions!

# Cold Atoms = Theoretical playground!



#### INTERACTING BOSONS

Assume: finite range interaction in d space-time dimensions

$$\mathcal{L} = \psi^{\dagger} \left( i\partial_t + \frac{\nabla^2}{2M} \right) \psi - \frac{C_0}{4} (\psi^{\dagger}\psi)^2 - \frac{C_2}{8} \nabla(\psi^{\dagger}\psi) \nabla(\psi^{\dagger}\psi) + \dots - \frac{D_0}{36} (\psi^{\dagger}\psi)^3$$

$$[x] = -1$$
,  $[t] = -2$ ,  $[\psi] = (d-1)/2$ ,  $[C_{2n}] = 3 - d - 2n$ ,  $[D_0] = 4 - 2d$ 

LO marginal in d = 3 "flatland"

rescale:  $\psi \to M^{1/2}\psi$   $\vec{x} \to M^{-1/2}\vec{x}$ 

#### S-wave scattering (arbitrary d)





three-body forces:  $(A_3)_{tree} =$ 



## Can solve exactly (formally)!



**Dimensional regularization:**  $\epsilon \equiv d - D$ 

$$\begin{split} I_n &\equiv \frac{i}{2} (\mu/2)^{\epsilon} \int \frac{\mathrm{d}^D q}{(2\pi)^D} \frac{\mathbf{q}^{2n}}{\left(E/2 + q_0 - \frac{\mathbf{q}^2}{2M} + i\epsilon\right) \left(E/2 - q_0 - \frac{\mathbf{q}^2}{2M} + i\epsilon\right)} \\ &= \frac{M}{2} (\mu/2)^{\epsilon} \int \frac{\mathrm{d}^{(D-1)} \mathbf{q}}{(2\pi)^{(D-1)}} \, \mathbf{q}^{2n} \left(\frac{1}{p^2 - \mathbf{q}^2 + i\epsilon}\right) \\ &= -\frac{M}{2} p^{2n} (-p^2 - i\epsilon)^{(D-3)/2} \Gamma\left(\frac{3-D}{2}\right) \frac{(\mu/2)^{\epsilon}}{(4\pi)^{(D-1)/2}} \end{split}$$

#### Renormalization

$$\Gamma(n)$$
 has poles at:  $n = 0, -1, -2, \dots$ 

$$\frac{d \text{ even:}}{I_0(p)} = -\frac{M}{2(4\pi)^{(d-1)/2}} \frac{\pi i \ p^{d-3}}{\Gamma\left(\frac{d-1}{2}\right)}$$

# finite! no running couplings in MS

**d odd:** 
$$I_0(p) = \frac{M}{2(4\pi)^{(d-1)/2}} \frac{p^{d-3}}{\Gamma\left(\frac{d-1}{2}\right)} \left[ \log\left(-\frac{p^2}{\mu^2}\right) - \psi_0\left(\frac{d-1}{2}\right) - \log\pi - \frac{2}{\epsilon} \right]$$

At least one EFT coupling runs in  $\overline{MS}$ 

#### The S-matrix

$$S \equiv e^{i\delta(p)} = 1 - 2i Im(I_0(p)) \mathcal{A}_2(p)$$
$$\mathcal{A}_2(p) = \frac{-1}{\operatorname{Im}(I_0(p))[\cot \delta(p) - i]} \quad \cot \delta(p) = \frac{1}{\operatorname{Im}(I_0(p))} \left[ \frac{1}{\sum C_{2n} p^{2n}} - \operatorname{Re}(I_0(p)) \right]$$
$$d = 3 \quad \text{``flatland''}$$
$$\left[ \cot \delta(p) = \frac{1}{\pi} \log \left( \frac{p^2}{\mu^2} \right) - \frac{1}{\alpha_2(\mu)} + \sigma_2 p^2 + \mathcal{O}(p^4) \right]$$

 $\overline{MS}$  couplings:  $\alpha_2(\mu) = \frac{MC_0(\mu)}{8}$   $\sigma_2 = \frac{8C_2(\mu)}{MC_0^2(\mu)}$ 



$$\alpha_2(\mu)$$
 vs.  $\mu$   $\alpha_2(\nu = 1) = 1$ 



Asymptotic freedom!

Landau pole!

Weirdness of two spatial dimensions:

$$\mathcal{A}_2(p \to 0) \to 0$$

 $\Diamond$ 

♦ Bound state for attractive and repulsive coupling:

$$\cot \delta(i\gamma) = i$$
 ;  $\gamma > 0$ 

$$\gamma = \mu \exp(\pi/2\alpha_2(\mu))$$

In repulsive case corresponds to Landau pole!

#### *cutoff* of EFT!

Schrödinger equation:

$$-\nabla^2 \Psi - g\delta^d(\mathbf{r})\Psi = 2ME\Psi$$

**Regularization:** 
$$g\delta^2(\mathbf{r}) \rightarrow g/(\pi r_0^2) \Theta(r_0 - r)$$

$$\Psi_{<} = J_0(pr) , \quad \Psi_{>} = \frac{J_0(pr_0)K_0(qr)}{K_0(qr_0)} , \qquad p \equiv \sqrt{-2ME} , \qquad q \equiv \sqrt{g/(\pi r_0^2) - p^2}$$

$$r_0 \to 1/\mu$$
  $g = -4\alpha_2$ 

### **Recovers previous!**

## Quantum effects alter marginal operators!

Solving Schrödinger equation =

Summing bubbles





## Next we'll consider power counting in NR systems